



# Remotely controlled drug release in deep brain regions of non-human primates

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## ABSTRACT

Many areas of science and medicine would benefit from selective release of drugs in specific regions. Nanoparticle drug carriers activated by focused ultrasound—remotely applied, depth-penetrating energy—may provide such selective interventions. Here, we developed stable, ultrasound-responsive nanoparticles that can be used to release drugs effectively and safely in non-human primates. The nanoparticles were used to release propofol in deep brain visual regions. The release reversibly modulated the subjects' visual choice behavior and was specific to the targeted region and to the released drug. Gadolinium-enhanced MR imaging suggested an intact blood-brain barrier. Blood draws showed normal clinical chemistry and hematology. In summary, this study provides a safe and effective approach to release drugs on demand in selected deep brain regions at levels sufficient to modulate behavior.

## 1. Introduction

Systemic administrations of drugs often carry substantial side effects, which limits the dose that can be delivered, the range of drugs that can be administered safely, and, consequently, the spectrum of patients who can receive effective treatments. An ideal approach would release medication specifically in the target of interest and use remotely applied, noninvasive form of energy as the release trigger.

Ultrasound-sensitive nanoparticle carriers are emerging as a candidate for such a selective approach [1]. A key benefit of this approach is that ultrasound is applied remotely, outside of the body, while being able to penetrate into a selected organ at depth. Drug release from the nanoparticles occurs specifically at the ultrasound focus (Fig. 1A), which can comprise millimeter-sized volumes [1,2].

Ultrasound-sensitive nanoparticles are commonly filled with a chemically inert perfluorocarbon core [3–11], which bestows them with sensitivity to ultrasound. Proof-of-concept release from such nanoparticles has been demonstrated in rodents [5,7–10,12]. However, it has been difficult to determine which combination of perfluorocarbon cores and ultrasound release parameters could mediate both effective and safe applications. This issue has, thus far, precluded translations of this approach to primates and humans.

This article finds that low-frequency ultrasound, in conjunction with

stable, high-boiling-point perfluorocarbon nanoparticles, provides release that is both effective and safe. The approach is deployed in non-human primates for targeted neuromodulation of deep brain regions. We targeted deep brain regions with propofol to i) demonstrate the non-invasive nature of the approach and ii) realize its promise in selective treatments of mental and neurological disorders, which are commonly associated with malfunctioning deep brain circuits [13–19]. A demonstration of the safety and efficacy of this approach in awake, behaving primates, as opposed to previous studies that used rodents and primarily low-boiling point nanoparticles, provides a critical step toward clinical translation.

We loaded the nanoparticles with propofol as this drug inhibits neural circuits with rapid onset and offset [20,21]. This provides a specific prediction of the expected effects. In addition, since propofol is readily used in clinics, its local delivery could open new diagnostic opportunities. A systematic inhibition of specific brain regions would provide direct information on the causal involvement of those regions in specific behaviors or behavioral disorders.

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## 2. Results

### 2.1. Ultrasound-triggered drug release *in vitro*

We developed ultrasound-sensitive nanoparticle carriers (Fig. 1B) with a core comprising a high boiling point perfluorocarbon—perfluorooctylbromide (PFOB). PFOB has been used safely in large quantities in humans as a blood substitute [22–24], and its high boiling point (142 °C) contributes to the stability of the nanoparticles in the blood stream. The nanoparticles are further stabilized using a co-polymer shell (Fig. 1B).

We loaded the nanoparticles with the anesthetic propofol [8,25]. First, we quantified the effectiveness of the propofol release *in vitro*, using an approach described previously in which a drug is released into an organic solvent [26]. Ultrasound of increasing pressure increased the effectiveness of the release, which reached over 80% for pressures above 1.3 MPa amplitude (Fig. S1A). The modulation of the release by the ultrasound pressure was significant (one-way ANOVA:  $F(6,42) = 443.83$ ,  $p < 0.0001$ ).

We have observed no difference in the ultrasound responsiveness of the nanoparticles in plasma compared to PBS, as shown in Fig. S1A. This is confirmed using a two-way ANOVA with factors of ultrasound pressure and the dispersant; the dispersant factor was not significant ( $F(1,42) = 0.20$ ,  $p = 0.65$ ). The amount of time the nanoparticles are in contact with plasma did not affect rates of drug release either with or without 1.5 MPa ultrasound (Fig. S2). The effect of drug release as quantified by a one-way ANOVA over time in plasma was not significant either with ultrasound ( $F(2,9) = 0.47$ ,  $p = 0.64$ ) or without ( $F(2,9) = 3.69$ ,  $p = 0.068$ ). This suggests that the particles remain stable when in contact with plasma. Additional details concerning the characterization of nanoparticles are available in Supplemental Information.

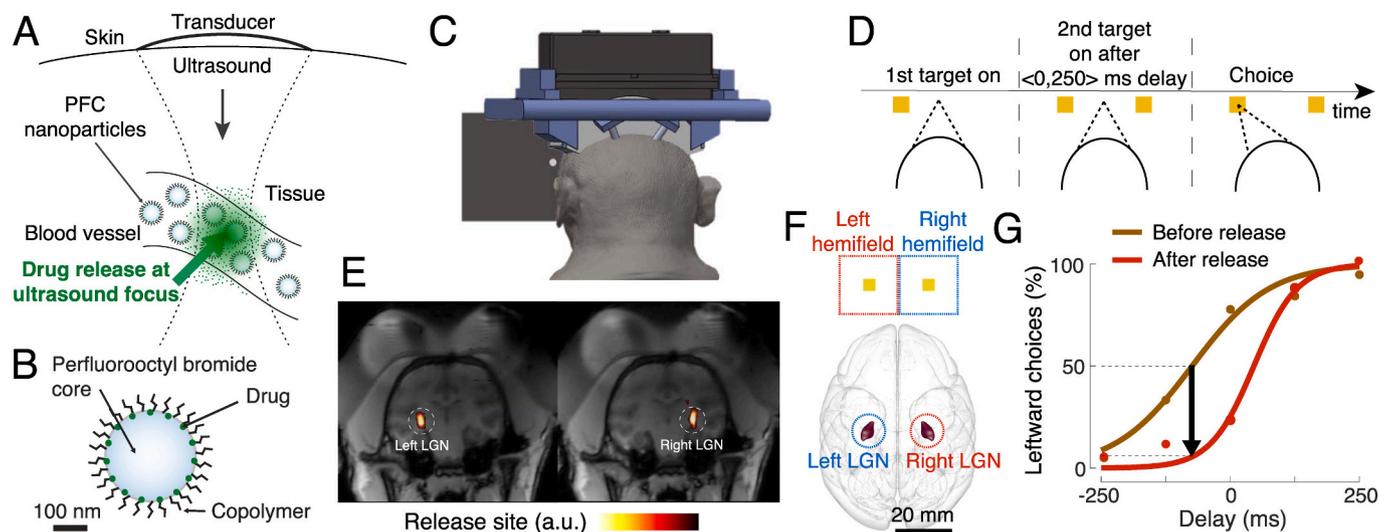
### 2.2. Ultrasound-triggered drug release in deep brain regions of nonhuman primates

We next evaluated the release capacity of the approach *in vivo* in non-human primates (NHPs), testing the ability to release drugs in specific deep brain regions through the intact skull and skin. To do so, we developed a system (Fig. 1C) that enables controlled and reproducible delivery of focused ultrasound into deep brain targets of awake NHPs [27,28]. We engaged the subjects in an established choice task that is often used in neurology to evaluate the effects of stroke in visual regions [29,30] and in neuroscience to quantify neuromodulatory effects [27,28,31–33]. In this task, subjects decide whether a left or a right target appeared first (Fig. 1D) and make an eye movement to that target. We specifically targeted the left and right lateral geniculate nuclei (LGN; Fig. 1E), which are the input nuclei of visual information to the brain.

Each LGN represents the contralateral visual hemifield and target (Fig. 1F), and so provides a well-defined framework for interpreting the polarity and magnitude of neuromodulatory effects on visual choice behavior [27,28]. For instance, if propofol, which is an anesthetic, neuroinhibitory drug, is released in the right LGN, one can expect an impaired perception of the left target. This should lead to an ipsilateral, rightward preference in the visual choice task (Fig. 1D,F).

To test this prediction, we established a behavioral baseline (brown in Fig. 1G). We then injected the nanoparticles into the blood stream using a bolus such that the concentration of the encapsulated propofol was 0.5 mg/kg. Following a 1-min delivery of pulsed ultrasound into the right LGN, we indeed found a strong ipsilateral bias in the animal's choices (red in Fig. 1G). The animal chose the rightward target more frequently following the release of propofol in the right LGN, consistent with a release of a neuroinhibitory drug in the LGN.

The ultrasonic array enables selective drug release in specified brain regions, which allowed us to evaluate this effect systematically for both the right and the left LGNs. Across all recorded sessions, we found that the released propofol indeed induced an ipsilateral bias in the animals'



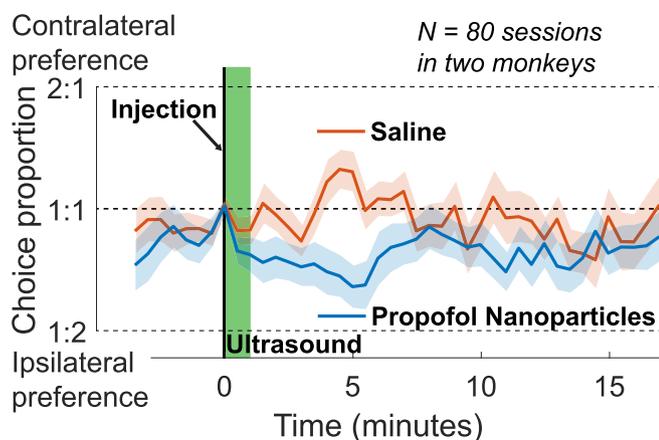
**Fig. 1. Ultrasound-triggered drug release from nanoparticle carriers in deep brain regions of non-human primates.** A) Concept. Remotely applied focused ultrasound enables selective release of drugs from nanoparticle carriers specifically at its focus. B) Nanoparticle formulation. The nanoparticles consist of a perfluorocarbon (PFC) with a high boiling point—perfluorooctylbromide. Perfluorooctylbromide bestows the nanoparticles with high stability and biological safety [22–24]. The nanoparticle is further stabilized using a polyethylene glycol/poly(lactic acid) co-polymer matrix. C) Ultrasound-controlled release in deep brain circuits of task-performing NHPs. A 256-element ultrasonic transducer array [27,28] delivers ultrasound programmatically into deep brain regions of NHPs, enabling selective drug release in specified brain regions. The array is mounted into implanted head posts to ensure reproducible positioning of the transducer with respect to the head from session to session. D) Visual choice task. One target appears on the left and one target on the right part of the screen, with brief, controlled delay between the onsets. Subjects look at the target that appeared first. E) Validation of ultrasound targeting of the left and right lateral geniculate nucleus (LGN) using MRI thermometry. F) Brain hemisphere-specific representation. The left/right LGN relays visual information about the right/left visual hemifield into primary visual cortex. G) Example psychometric curve during a 3-minute baseline (brown) and a 3-minute period following the release of propofol (red) in the right LGN fitted to sigmoid curves. Henceforth, the choice bias following the release is quantified as the proportion of choices at the point of equal preference established during the baseline (black arrow).

choices (Fig. 2, blue). This effect was specific to the propofol released from the nanoparticles; ultrasound alone of the same parameters had, if anything, the opposite effect (Fig. 2, red). Moreover, the effect was specific to the sonicated LGN side. We quantified these effects using an ANOVA that incorporated all 80 recorded sessions with factors of subject (Monkey 1 or 2), ultrasound pressure (1.2 or 1.5 MPa), drug (propofol nanoparticles or saline), and sonicated LGN side (left or right), and evaluated the choice behavior during the time window of expected propofol effects (2–5 min following the ultrasound onset [34]). The ANOVA detected a highly significant ( $F(1,66) = 18.73, p = 5.2e - 05$ ) interaction between the carrier factor and the sonicated LGN side (left or right LGN). This significant interaction demonstrates that the ultrasound released propofol selectively in each LGN and was capable of selectively modulating choice behavior.

The inhibitory effect is notable also when we separated the data into the right and left LGN release sessions, and was observed for both levels of tested ultrasound pressure (Fig. 3). A two-way ANOVA, evaluated in the same time window, again detected a significant interaction of the LGN side and carrier type (1.2 MPa:  $F(1,31) = 8.65, p = 0.006$ ; 1.5 MPa:  $F(1,31) = 10.00, p = 0.003$ ). These results confirm that ultrasound-triggered propofol delivery induced transient side-specific neuroinhibitory effects. Moreover, the effect shows the expected polarity given that propofol is a neuroinhibitory drug. Further, we have demonstrated that nanoparticles containing no propofol are not sufficient to induce bias in behavior different from saline alone (Fig. S4). A summary of the data used in each of these analyses is provided in Fig. S5.

### 2.3. Integrity of the blood-brain barrier

With respect to brain applications, the nanoparticle-based approach is designed to deliver drugs that naturally pass the blood-brain barrier (BBB) without perturbing it. We evaluated the integrity of the BBB following the release using an established approach—gadolinium-enhanced MRI imaging. Specifically, T1-weighted gadolinium-enhanced images have been used to detect the disruption of the blood-brain barrier [35–38]. A 20% signal change is highlighted in Fig. 4A and provides a threshold below which the blood-brain barrier can be considered intact. Across both animals and two independent sessions, in no case did the signal at the target LGN exceed that threshold (representative slices for both monkeys: Fig. 4A; all slices for both sessions and monkeys: Fig. S8). As a positive control, blood vessels are clearly identifiable (Fig. 4A;



**Fig. 2. The release of propofol in deep brain regions of NHPs modulates choice behavior.** Mean  $\pm$  s.e.m. proportion of choices contralateral to the targeted LGN as a function of time for the propofol-loaded nanoparticles (blue) and saline (red). The choice proportion was quantified in 3-minute moving-average windows, and was evaluated with respect to the point of equal preference obtained during a 3-minute baseline established prior to the injection of the nanoparticles (Fig. 1F). The injection and the ultrasound exposure times are indicated with a vertical black line and green bar, respectively.

arrows). Shortening of T1 relaxation times in T1 maps associated with the presence of contrast were also not detected (Fig. S7). Moreover, T2-weighted contrast-enhanced imaging, which is commonly used to assess potential edema [39,40] also did not reveal signal changes (Fig. 4B, Fig. S9). Together, these analyses suggest that the BBB remained intact and that the one-minute pulsed ultrasound exposure did not induce detectable harm to the target tissue.

### 2.4. Pharmacokinetics and biodegradability

We investigated the pharmacokinetics of the nanoparticles in the NHPs. To do so, we incorporated in the nanoparticles a fluorescent dye, along with propofol (see Materials and Methods). We drew blood samples at 2, 10, 20, 40, 80, and 120 min following the injection. We then quantified the amount of fluorescence from these blood samples relative to the initial time point. The resulting blood clearance curve (Fig. 5A) shows an initial half-life of 3.1 min followed by a slow decay with half-life 195 min. This clearance characteristic agrees with those reported using perfluoropentane-based nanoparticles in rats [7,41]. The dual-exponential nature suggests that the clearance of the nanoparticles involved two distinct processes or organs.

This pharmacokinetics study relies on the nanoparticles' ability to retain the infrared dye. As shown in Fig. S3, we did not observe a significant leakage of the dye from the nanoparticles. The fluorescence measured from nanoparticles isolated from plasma *via* centrifugation was consistent over incubation times ranging from 2 min to 2 h. The effect of time in a one-way ANOVA was not significant ( $F(4,16) = 1.35, p = 0.29$ ). Indeed, a previous study [7] has shown minimal serum concentrations of this dye after encapsulation, further suggesting that the leak rate is much slower than the rate of the nanoparticle clearance.

We thus evaluated in which organs the PFOB-based nanoparticles degrade. Two macaques, one marmoset, and 3 rats were sacrificed two hours after the injection of dye-loaded nanoparticles, and their major organs were extracted for analysis. The majority of the dye-loaded nanocarriers were found in the liver, again in line with previous studies in rats [7,41]. Appreciable amounts were also detected in the kidneys and lungs (Fig. 5B). These results would be unlikely if significant quantities of dye had leaked from the nanoparticles, since a previous study has demonstrated that free IR800RS dye does not substantially accumulate in the liver [42].

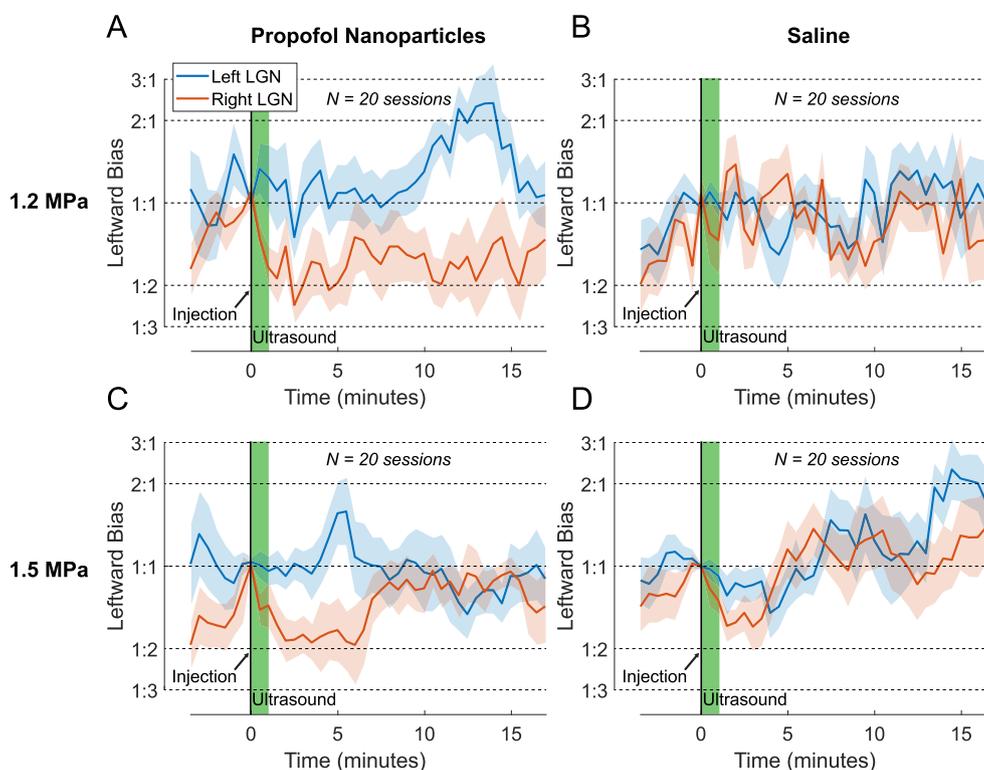
### 2.5. Clinical chemistry and hematology

The vascular access ports enabled us to repeatedly draw blood following the administration of the nanoparticles into the blood stream, and thus conduct detailed clinical chemistry and hematology evaluations. Since the nanoparticles were found to degrade primarily in the liver (Fig. 5B), we evaluated key markers of liver function—alkaline phosphatase (ALP), alanine transaminase (ALT), and aspartate aminotransferase (AST) (Fig. 6A). ALP and AST remained within normal ranges (green). ALT was mildly elevated during the course of the study and returned to baseline levels in both animals after a two-week period.

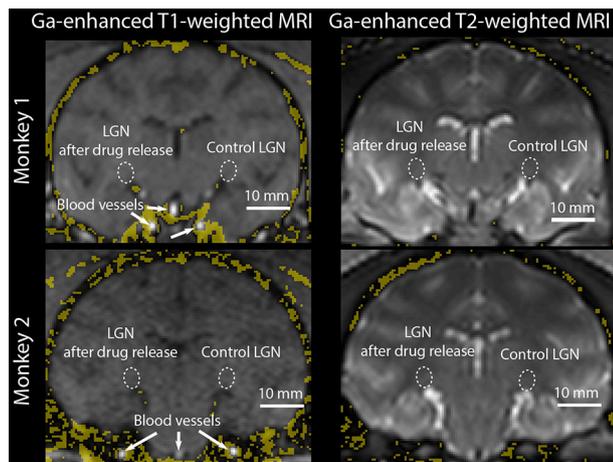
To assess the response of the immune system, we also evaluated the white blood cell count (Fig. 6B). A detected increase in the white blood cell count was within the normal range (green). Complete clinical chemistry and hematology analyses are provided in Tables S1 and S2.

## 3. Discussion

This article finds that stable nanoparticles with a high boiling point core can be used to safely release propofol in specific deep brain regions of NHPs. The release of propofol was substantial in that it manifested in specific changes in visual choice behavior. The effect pointed in the expected, neuroinhibitory direction. The effect was reversible and the release was found to be safe at the behavioral, anatomical, and hematology levels. At the behavioral level, we found that the release in



**Fig. 3. Propofol-induced modulation of choice behavior is target-specific.** Same evaluation and format as in Fig. 2, for ultrasound delivered into the right LGN (red) or the left LGN (blue), for the propofol-loaded nanoparticles (A, C) or saline (B, D), and for applied ultrasound pressure of 1.2 MPa (A, B) or 1.5 MPa (C, D). Each plot comprises  $n = 10$  sessions per LGN.



**Fig. 4. Intact blood-brain barrier following ultrasound-based drug release.** Gadolinium-enhanced T1-weighted (left) and T2-weighted (right) MRI contrast images following the administration of propofol-filled nanoparticles (0.5 mg/kg) and one-minute pulsed ultrasound (1.5 MPa) delivered into the left LGN into the two monkeys used in the behavioral task (rows). The ultrasound was delivered using the same hardware and targeting as during the behavioral experiments. Immediately following the release, we injected the contrast agent gadoteridol. The images show the difference before and after the administration of nanoparticles, ultrasound, and gadoteridol. The yellow regions label differences greater than 20% (T1-weighted) and greater than 10% (T2-weighted).

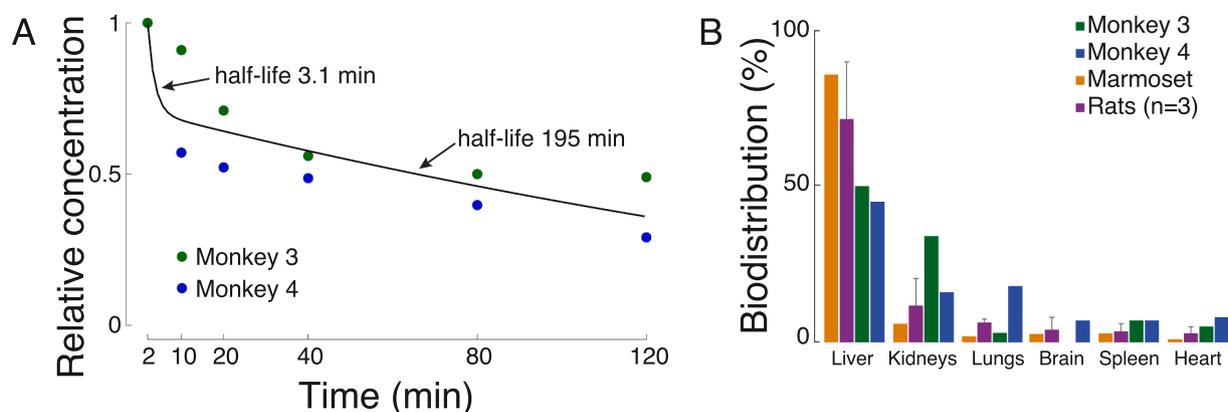
visual regions did not impair NHPs' ability to successfully perform visual discrimination. At the anatomical level, there was no detectable disruption of the NHP blood brain barrier. At the hematological level, a key marker of immune reaction—white blood cell count—remained within normal values. The average half life of the nanoparticles in the

NHP blood was found to be about 30 min, which provides a practical time window for applications in humans.

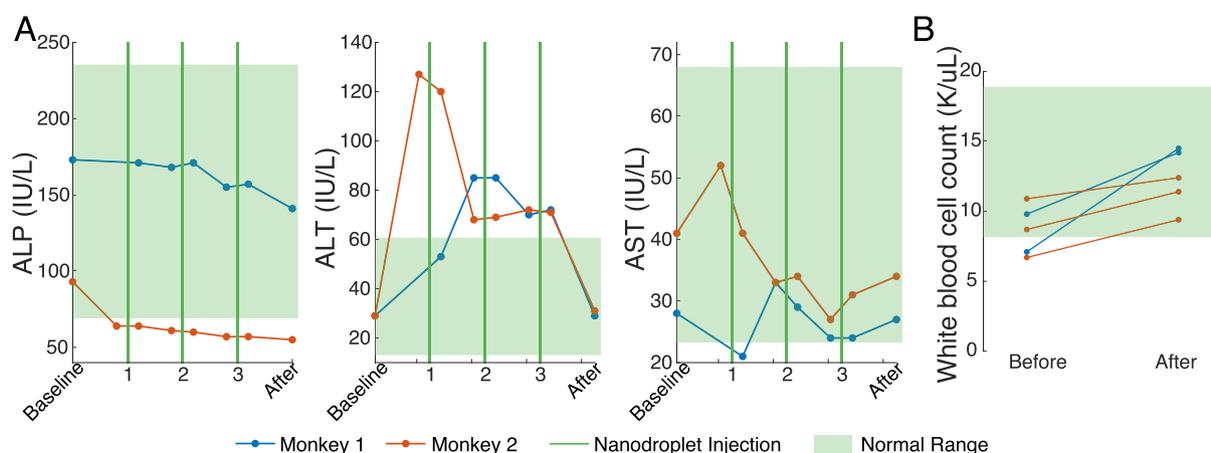
A hallmark of this remotely-controlled approach is its ability to flexibly deliver a drug into spatially circumscribed regions of interest. Our finding of target-specific effects on choice behavior (Fig. 2) supports the notion of a spatially-specific release. Specifically, the centers of the left and right LGNs in rhesus are separated by about 22 mm [43]. Therefore, the approach used in this study has a spatial precision of at least that order. Previous studies in rodents have showed that achieving a sub-cm precision is feasible [8,11]. In addition, the effect was specific to propofol-filled nanoparticles; saline administered with ultrasound of the same parameters produced no significant effect.

Another key feature of this approach is the low systemic dose of drug required to elicit strong local effects. Anesthesia in macaques typically requires around 2 mg/kg of propofol when administered as a bolus [44]. In comparison, the dose used in our study was 0.5 mg/kg. This indicates that the approach can be used to release a drug at a target at a high concentration while maintaining a relatively low systemic level. The approach may thus improve current systemically toxic or harmful treatment regimens, such as chemotherapy or treatments of brain circuits with psychedelic drugs.

For brain applications, the nanoparticle-based approach for localized drug delivery differs fundamentally from a related approach, which uses ultrasound combined with blood-circulating microbubbles to transiently disrupt the blood brain barrier (BBB) [45–47]. The nanoparticle-based approach aims to deliver drugs that naturally pass the BBB while preserving its integrity (Fig. 4). Moreover, in the nanoparticle-based approach, drugs are encapsulated within the nanoparticles and shielded by a polymeric shell (Fig. 1B). This design prevents the drug from interacting with tissues and organs until exposed to ultrasound or broken down. In comparison, in the microbubble-based approach, a full dose of a systemically injected drug immediately interacts with all vascularized tissues, thus limiting the specificity of that approach. It is



**Fig. 5. Blood clearance kinetics and organ biodistribution.** A) Relative fluorescence as a function of specific sampling times indicated on the abscissa. The nanoparticles were loaded with an infrared dye administered at time 0. The data were fitted with a double exponential function. One exponential showed a fast and the other a slow time constant (see inset). B) Distribution of the nanoparticles in major organs. The figure shows the relative dye accumulation in the respective organs as a percentage of total fluorescence. The rat data are presented as means  $\pm$  standard deviation. The brain for Monkey 3 was not available for this analysis.



**Fig. 6. Clinical chemistry and hematology.** A) Liver function-relevant serum chemistry: alkaline phosphatase (ALP), alanine transaminase (ALT), and aspartate aminotransferase (AST), across 3 independent sessions. Green lines indicate the time points of the nanoparticle administration. Blood draws were completed immediately before and 1.5 h after for each administration. For Monkey 1, a preinjection draw in the first session was not available. The first injections for each animal used nanoparticles with propofol concentration of 1.0 mg/kg dose, followed by 0.5 mg/kg for subsequent injections. Baseline was taken prior to any intervention and the final measurement was taken two weeks after the last nanoparticle dose. B) White blood cell counts before and 1.5 h after the administration.

possible that, in combination with microbubbles, these nanoparticles could be used to deliver drugs which do not on their own cross the BBB. This could be beneficial for chemotherapeutic drugs, which are frequently unable to reach tumors in the brain and could benefit from being localized to the tumor. Nonetheless, such applications should be preceded by careful studies of the interaction between the microbubbles and the nanoparticles, to ensure that appropriate ultrasound parameters are selected to activate the nanoparticles while not causing inertial cavitation of the microbubbles.

All components of the nanoparticles used in this study have been used in humans, which is expected to facilitate regulatory approval. PFOB is well tolerated by humans [48–50], and due to its oxygen-binding capacity has been used as a blood substitute [22–24]. Moreover, the hydrophobic block of the copolymer used—the polylactic acid (PLA)—is generally recognized as safe by the FDA and has been used broadly in modern medicine [51,52]. Likewise, polyethylene glycol (PEG) has been used extensively for its ability to circumvent activation of the immune system and thus extend the lifetime of drug carriers in the circulation [53,54]. Indeed, we found that our PEGylated nanoparticles were present in the blood two hours following administration (Fig. 5A). The predominant breakdown of the nanoparticles in the liver suggests an engagement of the reticuloendothelial system [55]. The detected

elevations in alanine aminotransferase were below the levels that could indicate hepatocellular damage [56]. Furthermore, the initial increase dropped by the second and third injection and returned to normal following a two-week washout [57,58].

The approach reported in this study builds on ultrasound-responsive nanoparticles initially developed for localized chemotherapy in rodents using perfluoropentane (PFP) [12,26] and perfluoro-15-crown-5 ether (PFCE) drug carriers [5]. PFP has a boiling point below the body temperature and thus has raised safety concerns. PFCE has a much higher boiling point—and thereby stability—but its biological half-life of nearly 8 months limits clinical deployment [59]. The perfluorocarbon core used in this study, PFOB, addresses both issues, but difficulties have remained in regard to its relatively high activation pressures [60], as activation pressure appears to correlate with the core's boiling point [61,62]. We have resolved this issue using relatively low ultrasound frequencies (248–480 kHz). In this ultrasound frequency range, we observed effective release *in vitro* (Fig. S1A) and *in vivo*, finding a strong and selective modulation of choice behavior of NHPs (Fig. 2, Fig. 3).

While low-boiling-point PFC nanoparticles have typically been activated by vaporization of the core itself, this is unlikely to occur for PFOB given its relatively high, 142 °C boiling point. Thus, drug release in this case is likely mediated by a mechanical effect, which may include

particle displacement or the acoustic radiation force. Indeed, similar perfluorocarbon-based nanoparticles have been shown to effectively release drug *in vivo* without evidence of inertial or stable cavitation [26]. It is possible that the mechanical effects are driven or enhanced by the mismatch between the acoustic impedance of the blood (1.65 MRayl) and the perfluorocarbon nanoparticle core (1.84 MRayl [63,64]). Mismatched acoustic impedance can enhance drug release without requiring cavitation [65]. Nonetheless, more work is needed to elucidate the mechanisms of the drug release.

This study has two limitations. First, although the study uses an established behavioral framework and the well-characterized visual system to evaluate neuromodulatory effects [27–33], there is a lack of a modality to image the released propofol. Microdialysis was not used due to concerns of a needle disrupting the blood-brain barrier, which would artificially boost the release. Second, the reported behavioral effects are subject to adaptation and potentially other higher-order cognitive influences [28]. This issue is mitigated by contrasting the release across the two brain sites and by contrasting propofol-filled nanoparticles with saline and empty nanoparticles.

It is possible that the volume of the drug release may exceed the ultrasound focus. On this front, propofol and other hydrophobic small molecule drugs have a strong propensity to diffuse into the brain. Propofol diffuses rapidly out of the bloodstream and into the brain, with a blood-brain equilibration half-life of just 2.9 min when administered systemically [66]. This may explain why a previous study found a highly confined release of propofol by ultrasound in the brain [8].

These NHP data are expected to lead to an accelerated adoption of this targeted approach in humans. For instance, the propofol-containing nanoparticles could be used for virtual lesioning of individual candidate regions involved in epilepsy, pain, or mental disorders. Longer-acting drugs could subsequently be delivered into the identified malfunctioning circuits and thus provide personalized, targeted therapy. Targeted delivery of drugs for other indications such as cancer, and organs other than the brain, could fuel additional important applications.

## 4. Conclusions

In summary, we have developed an approach that enables ultrasound-triggered delivery of drugs into circumscribed regions in the brain or the body as demonstrated by robust modulation of choice behavior and a favorable safety profile in NHPs. This targeted pharmacomodulation approach has the potential to provide treatments to individuals for whom current drug treatments cause unbearable or undesirable side effects.

## 5. Materials and methods

### 5.1. Materials

Methoxy poly(ethylene glycol)-*b*-poly(D,L-lactide) (PEG-PDLLA) copolymers with 2: 2.2 kDa molecular weights, respectively, were obtained from PolyScitech (USA). Perfluorooctyl bromide were obtained from Tokyo Chemical Industry Co. (Japan). Propofol was obtained from Sigma Aldrich (Millipore Sigma, Canada). Infrared dye IR800RS NHS Ester was obtained from LI-COR Biosciences (USA). HPLC-grade tetrahydrofuran (THF), *n*-hexane, and methanol were obtained from Fisher Scientific (USA). Sterile phosphate buffer solution (PBS) was obtained from Cytiva Life Sciences (USA). Pooled, blood derived human plasma with anticoagulant K2 EDTA was obtained from Innovative Research (USA).

### 5.2. Animals

All procedures conformed to approved protocols by the Animal Care and Use Committee of the University of Utah (protocols 18–11011, 18–12015, and 21–12012). For all behavior studies and safety studies

not requiring euthanasia, we used two male rhesus macaques (*macaca mulatta*) with weights 10.0 kg and 13.8 kg, both aged 8 years (monkeys 1 and 2). Two adult male rhesus macaques (*macaca mulatta*, monkeys 3 and 4), an adult male common marmoset (*callithrix jacchus*), and 3 adult male Sprague-Dawley rats participated in the safety and pharmacokinetics experiments. These macaques weighed 10.8 and 16.1 kg (age 6 and 13 years). The marmoset weighed 288 g (age 7 years) and was from an in-house colony. The rats weighed 830–900 g (age 1 year) and were obtained from Charles River. Rats were selected for the initial safety screening because they are more easily available in larger quantities. The marmoset and the first two macaques became available upon successfully completing their intended studies.

The macaques were preferentially housed in pairs, according to the approved protocol. They were given daily enrichment by husbandry staff and received fruit and vegetables daily to supplement their diet.

The behavioral experiments were performed in monkeys and were not terminal. Rats were selected for the initial safety screening as they are more suitable for terminal experiments. The marmoset and the first two macaques became available for the terminal experiments following the completion of their original studies. All procedures were IACUC-approved.

### 5.3. Nanoparticle production

The process of manufacturing the drug-encapsulating, ultrasound-responsive PFC particles is described in detail in previous studies [12,26]. The process converts small (tens of nanometers in diameter) micelles into much larger (hundreds of nanometers) PFC nanoparticles. First, the PEG-PDLLA polymer constituting the basis of the nanoparticle shell was dissolved in THF at a ratio of 1 mL THF: 20 mg polymer. For the biodistribution and blood clearance studies, infrared dye was added at a ratio of 1:32 (dye:polymer) for the rats and marmoset and 1:110 or 1:89 for monkeys 3 and 4, respectively. The macaques used disproportionately smaller quantities of the dye than the smaller animals for supply reasons. THF was then allowed to evaporate overnight until a gel-like layer remains. PBS was added at a ratio of 1 mL PBS: 20 mg polymer and placed on a shaker table at 120 rpm to dissolve for 15 min. The addition of PBS orients the hydrophilic copolymer, PEG, toward the water and the hydrophobic copolymer, PDLLA, away from the water, forming micelles. Next, the PFOB core and drug were added and emulsified. A 2:1 ratio of polymer to drug was used. The nanoparticles' diameter can be controlled by the ratio of PFC to polymer, as reported previously [5]. We used a ratio of 3  $\mu$ L PFOB: 1 mg polymer for all experiments except the 1.5 MPa behavior studies, for which the ratio was adjusted to 2.75: 1. The PFC and drug were added to 15 mL centrifuge tubes and gently shaken to combine before adding 8 mL of the micelle solution. A 20 kHz, 500 W sonicator with a cup horn attachment (VCX500, Sonics & Materials, Inc., USA) emulsified the PFOB and drug, forming stable nanoparticles. The samples were sonicated in a 10 °C water bath at 20% power for 3 min, inverting the tubes halfway through to ensure even distribution. A custom temperature-controlled cooling system maintained the bath temperature during sonication. We found this controlled temperature approach to maximize the consistency of the nanoparticle sizes, drug encapsulation, and release properties. The resulting solution contained the desired nanoparticles in addition to remaining micelles, dissolved polymer, and free propofol. Nanoparticles were isolated from micelles and free drug using three cycles of centrifugation at 3000 g relative centrifugal force (RCF) at 4 °C. After each cycle, the supernatant was discarded and the pellet dissolved in fresh PBS. Blank nanoparticles were manufactured using the same process but no propofol is incorporated before sonication. The concentration was estimated based on the average encapsulation rate of propofol-loaded particles.

#### 5.4. Nanoparticle characterization

Nanoparticle sizes were measured using a Zetasizer Nano S (Malvern Panalytical, UK), which reports the intensity-weighted size distribution. The size values reported in the Supplementary Materials section describe the z-average diameter  $\pm$  standard deviation of the distribution of the intensity values measured by the device. To quantify the amount of drug encapsulated, 25  $\mu$ L of nanoparticle solution was added to 225  $\mu$ L of methanol to dissolve all components. A UV-vis spectrophotometer (NanoDrop 2000, Thermo Scientific, USA) was used to quantify the concentration by comparing the absorbance at 276 nm to a propofol standard curve.

Drug release efficacy was assessed *in vitro* using a solvent extraction method similar to that described in [7]. In this procedure, 0.2 mL nanoparticle solutions in either human plasma or PBS are placed in contact with 0.2 mL of an organic sink (hexane) in a 1.5 mL centrifuge tube while ultrasound is applied from a single-element transducer coupled to the tube with degassed water. Following sonication, the hexane was extracted to measure the concentration of propofol released using UV-vis spectrophotometry. The time hexane was in contact with the nanoparticles was held constant at 105 s to control for the time course of drug release. Percent drug release is reported as the amount of drug released into hexane relative to the drug encapsulated. The mean  $\pm$  SD percentage of drug encapsulated across all behavior studies was  $10.6 \pm 1.9\%$ .

To assess the stability of nanoparticles in human plasma, the nanoparticles were first dissolved in the plasma following the last centrifuge cycle. Drug release with and without ultrasound was quantified as described above immediately, after 1 h, and after 2 h of incubation with plasma. Ultrasound pressure was held constant at 1.5 MPa.

To quantify the rate of dye leak *in vitro*, nanoparticles were prepared using 0.5 mg of IR800RS dye, 20 mg of propofol, 55  $\mu$ L of PFOB, and a micelle solution containing 40 mg of PEG-PDLLA polymer. After the final centrifuge cycle, the nanoparticle pellet was dissolved in plasma and incubated for either 2, 20, 40, 80, or 120 min. Then, the solution is centrifuged again for 5 min and 3000 g RCF to isolate the nanoparticles in the pellet.

The pellet was then dissolved in 0.1 mL of PBS and fluorescence of the dye quantified using IVIS imaging (see IVIS imaging subsection for details).

#### 5.5. Sterilization and endotoxin testing

For animal studies, nanoparticles were sterilized with either ultraviolet light or filtration. UV light was selected for the acute studies for its efficacy of sterilization while it is considered unlikely to disrupt polymeric drug carrier structure and function [67]. Samples in glass vials were exposed to an 8 W UV lamp (Philips, USA) in a custom chamber for 3 h. For the longer-term studies in monkeys, we sterilized the micelle solution by 0.2  $\mu$ m filtration, then used sterile reagents for the remainder of the production. Filtration of the finished product was not possible because the nanoparticles are typically larger than the pore size of sterilization filters.

To confirm that the process does not introduce unwanted contamination, we tested for the presence of bacterial endotoxin, an FDA-required step for any drug product [68]. We used gel-clot lyophilized amoebocyte lysate tests (ToxinSensor, Genscript, USA) to detect the presence of endotoxin above the detection threshold. This semi-quantitative method was used to ensure that the nanoparticles contained a level of endotoxin lower than the FDA allowable limit of 5.0 EU/kg when diluted at doses to be administered to animals.

#### 5.6. Nanoparticle dosing

Long-term study animals were implanted with vascular access ports to enable rapid intravenous infusions and blood draws. The vascular

access port system consists of the port itself and a polyurethane catheter (Swirl-Phantom and Hydrocoat, Access Technologies, USA) inserted via the saphenous vein into the inferior vena cava. Dosing was ramped up over four sessions to minimize potential safety issues: 0.1, 0.33, 0.66, and 1.0 mg/kg of propofol. We chose to use a dose of 0.5 mg/kg, at which animals showed no signs of drowsiness.

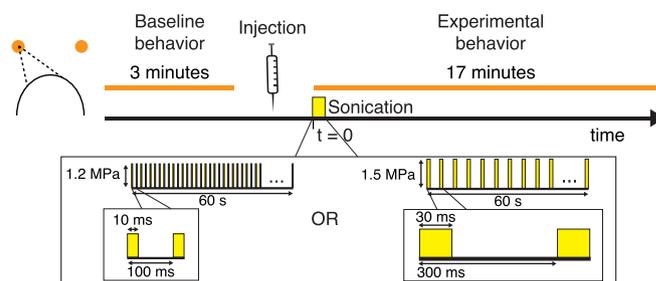
#### 5.7. Behavior experiments

The system for delivering ultrasound to the lateral geniculate nucleus and monitoring behavior effects is described in previous publications [27,28] and illustrated in Fig. 1. Two non-human primates were trained in a visual discrimination task which has commonly been used in neurology and neuroscience [27,28,30,69]. In this task, the subject fixates on a central target presented on a screen as detected using an eye tracker (Eyelink, SR Research Ltd., Canada). After a randomized delay, the fixation point disappears and a target is presented in either the left or right visual hemifield. Following another delay, a second target is presented in the opposite visual hemifield. The subject is rewarded with a probability between 50% and 100% with a drop of juice from a lick spout for selecting the target presented first. In each session, there were 5 possible delays between the onset times of the two targets, with the delays calibrated to each subject. Delays are selected such that the subjects are near 100% accuracy in the longest delays and near 50% accurate at the middle delay (0 ms), with some degree of uncertainty at the delay in between. Using these delays, we fit a sigmoid function to each subject's behavior to quantify the magnitude and the polarity of neuromodulatory effects.

In this study, we quantified behavior by fitting a sigmoid curve to 3 min segments of data. The subjects first performed at least 3 min of behavior before any intervention to establish a baseline. We use this baseline behavior to establish the point of equal preference, which is the time delay at which the sigmoid curve crosses the 50:50 choice proportion line. Animals were not cued to perform the task while we administered propofol-loaded nanoparticles or saline. The task performance was resumed upon the delivery of the ultrasound. In 3-min segments following sonication onset, we measured effects on choice behavior as the percent of leftward choices at the delay of equal probability established during the baseline. A timeline of the experiment is shown in Fig. 7. Plots in Fig. 2 are an average of results from 5 sessions for each condition for each subject, for a total of  $n = 80$  sessions. One saline session was excluded due to a lack of trials in the 3 min baseline period. We interleaved sessions with nanoparticles and saline and the sonicated side. Sessions were completed on a nearly daily basis.

#### 5.8. Statistical analysis

Statistics were evaluated over the individual sessions. The primary



**Fig. 7. Behavior Experiment Timeline.** Each behavior session began with the animal performing the task for at least 3 min to establish a baseline. The task was paused while the experimenter administered nanoparticles or saline, and resumed at the time of the ultrasound onset. The animals completed at least 17 min of the task after the ultrasound onset.

analysis is a four-way ANOVA with factors of LGN sonicated (left/right), pressure (1.2/1.5 MPa), type of injection (propofol nanoparticles/saline), and subject (Monkey 1/2). Five sessions were completed by each animal for each condition, resulting in 80 sessions in total (left and right sonication, propofol nanoparticle and saline injection, 1.2 and 1.5 MPa sonication). The time window from 2 to 5 min following the ultrasound onset was selected for the analysis as it was the peak in behavior bias observed in the time courses. The ANOVA was computed in MATLAB for all the behavior sessions with saline and propofol (Fig. 2), and repeated after splitting the data into 1.5 MPa and 1.2 MPa sonications (Fig. 3). We have also quantified these effects for each animal separately using the same ANOVA design but without the factor of subject. To further confirm the statistical robustness of these effects, we repeated this procedure with blank nanoparticles at 1.5 MPa; no propofol was incorporated (Fig. S4). The two-way ANOVA was then repeated between each of these conditions and a Bonferroni correction applied for the three comparisons. Box plots of the data analyzed are available in Fig. S5. A one-way ANOVA was used to quantify the effect of ultrasound pressure on drug release *in vitro* from 0 to 2.5 MPa.

### 5.9. Pharmacokinetics studies

The rats were anesthetized with 2.5–3% isoflurane, and dye-loaded nanoparticles were administered at a dose of 1 mg/kg propofol followed by an equal volume of sterile saline. After one hour, the animals were euthanized by exsanguination under 5% isoflurane anesthesia.

The primates were pre-anesthetized with ketamine (25 mg/kg intramuscularly) and intubated with endotracheal tubes. They were artificially ventilated and anesthesia maintained with 1–4% isoflurane throughout the procedure by veterinary staff. The animals were placed on heated operating table pads to maintain body temperature. For the marmoset, dye-loaded nanoparticles were injected through the tail vein at doses of 1 mg/kg propofol for each of two injections separated by 45 min. A total volume of 2 mL of nanoparticle solution was administered, followed by an equal volume of sterile saline. The marmoset was euthanized by an overdose of sodium pentobarbital and perfused transcardially with 4% paraformaldehyde 82 min after the first injection. For Monkey 3, one injection of dye-loaded nanoparticles was administered in the right saphenous vein at 1 mg/kg propofol and a volume of 5 mL, followed by an equal volume of sterile saline. Blood samples were taken from the left saphenous vein at

2, 10, 20, 40, 80, and 120 min following the injection, at a volume of 1 mL each. For Monkey 4, the right (injection) and left (blood draws) cephalic veins were used with a 1 mg/kg propofol dose and 10 mL volume of dye-loaded nanoparticles. Following 120 min of monitoring, the monkeys were euthanized by an overdose of sodium pentobarbital and perfused transcardially with 4% paraformaldehyde.

The procedures were painless and wholly consistent with the recommendations of the Panel on Euthanasia of the American Veterinary Medical Association.

### 5.10. IVIS imaging

Major organs were extracted and subjected to infrared fluorescence analysis using an IVIS system (Perkin Elmer, USA) with methods similar to those previously demonstrated [7]. Excitation was set to 745 nm and emission to 820 nm, with 5 s of exposure. 2 s of exposure was used for the *in vitro* samples. Extracted organs were placed directly on a dish in the field of view of the camera. For monkeys, the same organs as in the smaller animals were available, with the exception of the brain for Monkey 1. Blood samples were pipetted into drops of 100  $\mu$ L on a dish. Total fluorescence for each of these samples was quantified using Aura software (Spectral Instruments, Inc., USA), defining regions of interest incorporating the whole organ or blood sample and subtracting a background region of the same size. Percent biodistribution was computed as the total fluorescence of the region containing the organ

divided by the sum of the fluorescence of all organs. Nanoparticle concentration in the blood was computed as the amount of fluorescence from each sample relative to the first sample, obtained at 2 min.

### 5.11. Ultrasound parameters

Ultrasound parameters for all experiments are summarized in Table 1. The ultrasound carrier frequency in the *in vitro* drug release experiments was 300 kHz using a focused single element transducer (H-115, 64 mm diameter, 52 mm focal depth, Sonic Concepts, USA).

Stimuli were generated using a function generator (33520b, Keysight Technologies, USA). The signal was amplified using a 55-dB, 300 kHz–30 MHz power amplifier (A150, Electronics & Innovation, Ltd., USA). Pulses 100 ms in duration were repeated once per second for a total of 60 s. This pulsing sequence was previously used to activate PFC nanoparticles in rodents [7,26]. The pressure levels at the vial location, measured in degassed water, were 0, 0.5, 0.9, 1.3, 1.7, 2.1, and 2.5 MPa. The pressure fields were measured using a capsule hydrophone (HGL-0200, Onda Corporation, USA) calibrated between 250 kHz and 40 MHz and secured to 3-degree-of-freedom programmable translation system (Aims III, Onda). The single-element transducer has a half-power beamwidth of 6 mm  $\times$  40 mm.

In the pharmacokinetics experiments, the same transducer was operated at 248 kHz in 60-s blocks of 100 ms pulses. The minimum operating frequency was selected as a compromise between high release effectiveness and small release volume. The animals were shaved and the transducer coupled to the scalp using a 2% agar cone and ultrasound gel. The maximum pressure was estimated to be 1 MPa for all animals. The pressure was estimated from free-field measurements and a correction for the skull which indicates a transmission rate of 76% through the skull of a 780 g rat [70] and 66% through a macaque skull [71]. Transmission through the marmoset skull has been less thoroughly studied but was predicted to be similar to rats since the species are comparable in weight. Ultrasound was applied to the rats at the midline, 2 mm posterior to the eyes for 60 s 5 min after administration of nanoparticles. For the marmoset, ultrasound was applied at the posterior surface of the skull for 60 s 5 min after each of the two administrations. The right and left visual cortex were targeted independently for Monkey 3. Ultrasound was applied in 60-s blocks over 90 min for a total of 4 sonication blocks per side starting 2 min after nanoparticle administration. Sonication of the left and right sides was interleaved. For Monkey 4, the right and left visual cortex were targeted simultaneously using two ultrasound transducers to maximize the sonicated volume. In this monkey, sonications were repeated for two 60-s blocks separated by two minutes. No ultrasound was delivered after this period due to initial results which indicated that the nanoparticle concentration in the bloodstream decays rapidly (Fig. 5A).

In the behavioral, MRI, and blood draw components of the investigation, we used a custom

256-element phased array (Guangzhou Doppler Electronic Technologies, China) that allows us to target the deep brain; specifically, the lateral geniculate nuclei, as described in detail in our previous publications [27,28]. This transducer has a half-power beamwidth of 1 mm  $\times$  3.75 mm [27,28]. Briefly, the scalp was shaved before each session and the transducer affixed to the animal's head *via* implanted titanium pins (Gray Matter Research, USA) and coupled using a 6% polyvinyl alcohol (Fisher Scientific, USA) cryogel. The transducer was driven by the Vantage256 controller (Verasonics, USA) at 480 kHz. This corresponds to the lower corner frequency of the transducer's bandwidth and was selected to maximize the mechanical effects on the nanoparticles. Ultrasound pressure and focal location were determined using MR thermometry. Details on this procedure are published [27,28]. Ultrasound at the frequency of 480 kHz was pulsed at 10% duty cycle for one minute for all experiments. In the behavioral experiments, we delivered into the targets a peak pressure of 1.2 MPa in 10 ms pulses or 1.5 MPa in 30 ms pulses. The 1.5 MPa sessions were completed with a longer pulse

**Table 1**

Ultrasound parameters for all experiments. The 300 and 248 kHz sonications were delivered by the single-element H-115 transducer, while the 480 kHz sonications were delivered by the 256-element array.

Experiment	Animal(s)	US Frequency	Pressure	Pulse Length	Pulse Frequency	Duration
Behavior	Macaques 1 and 2	480 kHz	1.2 MPa	10 ms	10 Hz	1 min
Behavior	Macaques 1 and 2	480 kHz	1.5 MPa	30 ms	3.33 Hz	1 min
MRI	Macaques 1 and 2	480 kHz	1.5 MPa	30 ms	3.33 Hz	1 min
Pharmacokinetics	Macaques 3 and 4, Marmoset, Rats 1–3	248 kHz	1 MPa	100 ms	1 Hz	1 min
<i>In Vitro</i>	n/a	300 kHz	0–2.5 MPa	100 ms	1 Hz	1 min

duration as previous studies have indicated that this can lower the activation threshold of PFC nanoparticles and enhance drug release [7,10].

### 5.12. MR imaging

All studies were performed on a 3 T Vida MRI scanner (Siemens, Germany). The MR imaging was used to assess the potential disruption of the blood-brain barrier (BBB) or tissue damage. Two sessions of propofol-based nanoparticle release were performed for Monkey 1 and one for Monkey 2 under the MRI. Potential disruption of the BBB is assessed using gadolinium-enhanced T1-weighted imaging, which is a common approach since gadolinium does not cross an intact BBB [35,72,73]. T2-weighted images were taken to inform on a potential edema formation [37]. We followed a previous NHP protocol to evaluate these effects [35]. These methods were established to evaluate the volume of potential blood brain barrier disruption [35,74,75]. In each experiment, we first collected a T1-weighted scan (3D Volumetric Interpolated Breath-hold Examination (VIBE), TR/TE = 4.46/1.42 s, 192 × 132 × 80 mm field of view, 1 mm isotropic voxels, readout bandwidth 490 Hz/pixel, 5 averages, 3:55 min) and a T2-weighted scan (Sampling Perfection with Application optimized Contrast using different flip angle Evolution (SPACE), TR/TE = 4000/179 ms, 192x136x80 mm field of view, 1 mm isotropic voxels, readout bandwidth 789 Hz/pixel, Turbo factor 165, 1 averages, 3:30 min) to use as baselines. T1 maps were reconstructed from data acquired with a Short TI Inversion Recovery (STIR) turbo spin echo pulse sequence for one session with Monkey 1.

Imaging parameters included TR/TE = 8000/8 ms, 192 × 132 mm field of view, 1 × 1 mm voxels, 2 mm slice thickness, echo train length 14, readout bandwidth 1002 Hz/pixel, acquisition time 1:30 min for each of 8 TIs: 25, 50, 100, 250, 500, 1000, 2500, and 6000 ms. Quantitative T1 mapping has been used previously to detect subtle BBB lesions which are not otherwise apparent in standard radiological practice as the presence of gadolinium contrast can decrease T1 times [76]. We then administered a solution of the nanoparticles at a concentration of 0.5 mg/kg of propofol followed by 10 mL of sterile saline *via* an intravenous catheter placed in the subjects' arm. Ultrasound was delivered starting two minutes after completion of the nanoparticle injection. Immediately following the ultrasound delivery, gadolinium-based contrast agent was administered at a dose of 0.15 mL/kg (ProHance Gadoteridol, 279.3 mg/mL, Bracco Diagnostics, USA). We administered the contrast agent after the drug delivery procedure to use the same approach as previously [35,74,75,77]. Repeated T1 and T2 scans and T1 maps were taken 30 min following contrast administration, which allows gadolinium in larger blood vessels to diffuse away. The monkeys were stationary during the imaging. Nanoparticles and gadoteridol were administered *via* an IV catheter line accessed from outside the scanner and ultrasound was applied *via* a fixed transducer placed prior to imaging.

MR images were initially processed in MATLAB by normalizing to the average value of an off-target region of the brain 10 mm anterior to the sonication target. Percent change from baseline was determined by dividing the post-treatment image by the baseline image. A change of

>20% from baseline was interpreted to indicate presence of gadolinium and therefore BBB opening. This threshold is high enough to circumvent the noise caused by lingering gadolinium in blood vessels [35]. A threshold of 10% has been reported previously [35,74], but we increased this threshold to reduce the influence of gadolinium in blood vessels and areas with very low initial intensity. T2-weighted images were processed the same way, using a 10% threshold of intensity increase. We analyzed 5 slices (5 mm) in each direction from the location of the LGN, shown in Fig. S8 and Fig. S9.

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### CRediT authorship contribution statement

**Matthew G. Wilson:** Writing – original draft, Methodology, Investigation, Formal analysis, Writing – review & editing. **Taylor D. Webb:** Methodology, Project administration, Resources, Software, Supervision. **Henrik Odéen:** Software, Investigation, Methodology, Resources. **Jan Kubanek:** Writing – review & editing, Writing – original draft, Supervision, Project administration, Methodology, Conceptualization.

### Declaration of competing interest

Jan Kubanek is a co-inventor on a pending patent.

### Data availability

Data will be made available on request.

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### Appendix A. Supplementary data

Supplemental Text: Nanoparticle characterization The mean ± SD diameter of the nanoparticles was 473 ± 28 nm for *in vitro* drug release experiments, 550 ± 83 nm in MRI studies, 809 ± 328 nm for behavior studies at 1.2 MPa, and 526 ± 109 nm at 1.5 MPa. Blank nanoparticles averaged 986 ± 444 nm in diameter. The size distributions of PFOB nanoparticles were found to be stable over a 24-hour time period when stored in PBS at room temperature. The average size distribution for blank and propofol-loaded nanoparticles is shown in Fig. S1B. The somewhat larger average size of the nanoparticles prepared for the behavioral studies is likely due to the higher quantities of all materials necessary for the injection into a large animal. The resulting higher concentration of particles may encourage aggregation. Blank

nanoparticles. The effects of blank nanoparticles with sonication were indistinguishable from saline injection, indicating that the particles themselves do not contribute to the neuromodulatory effects, but rather the drug that is released. Adding the blank nanoparticles into the analysis of all 1.5 MPa sonication sessions, the interaction of LGN sonicated and drug is still statistically significant ( $F(2, 46) = 7.22, p = 0.0019$ ). The difference between blank nanoparticles and propofol was significant ( $F(1, 29) = 8.77, p = 0.0061$ ). There was no significant difference between blank nanoparticles and saline ( $F(1, 30) = 0.00, p = 0.98$ ). There was a significant interaction of the sonicated LGN side (left or right) and intervention (saline, blank nanoparticles, or propofol-filled nanoparticles) in each monkey (Monkey 1:  $F(2, 21) = 3.75, p = 0.041$ , Monkey 2:  $F(2, 23) = 4.46, p = 0.023$ ). Behavior Baseline Correction The results shown in Fig. 2 contain sessions with baseline behavior periods which were highly biased. To assess the impact of these sessions, we have also analyzed the results by excluding any sessions in which the monkeys were biased more than 70% in either direction. Excluding these sessions removes the offset in baselines shown in Fig. 3, while retaining a clear bias induced by propofol delivery at the 1.5 MPa pressure. A two-way ANOVA with factors of LGN sonicated and injection type (the same analysis as the main text) detected a significant effect at 1.5 MPa 2-5 minutes after sonication ( $F(1,29) = 4.31, p = 0.047$ ) but not 3-6 minutes after sonication ( $F(1,29) = 1.92, p = 0.18$ ). However, the effect was not significant at 1.2 MPa with this analysis 2-5 minutes after sonication ( $F(1,29) = 3.52, p = 0.071$ ). This suggests that for immediate effects to be substantial enough to modulate behavior, a pressure higher than 1.2 MPa may be necessary. Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jconrel.2024.04.013>.

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